

QUESTIONNAIRE NUMBER
RECORD NUMBER
PREFERENCE NUMBER
ROTATION NUMBER

BUSINESS ENVIRONMENT AND ENTERPRISE PERFORMANCE SURVEY

The European Bank for Reconstruction and Development and the World Bank

Enterprise Survey

Manufacturing Module (2012)

GPS Coordinates [INTERVIEWER, DO NOT ENTER THE DATA]

Latitude	poslat	N	S		lat
Longitude	poslon	E	W		lon

A. CONTROL INFORMATION [TO BE COMPLETED BEFORE INTERVIEW]

A.0 Questionnaire	a0	Module
Manufacturing		1

A.1 Country	a1

A.1a Language of the interview	a1a

A.2 Sampling region	a2
Region A	1
Region B	2
Region C	3
Region D	4
Region E	5

A.3a Screener region (coded ex post)	a3a
Region A	1
Region B	2
Region C	3
Region D	4
Region E	5

A.3x Name of city/town/village	a3x

A.3b Is this city the official capital city?	a3b
Yes	1
No	2

A.3c Is this city the main business city?	a3c
Yes	1
No	2

A.3 Size of locality	a3
City with population over 1 million – other than capital	2
Over 250.000 to 1 million	3
50.000 to 250.000	4
Less than 50.000	5

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A.4 Industry		Sampling sector a4a	Screener sector a4b		
Manufacturing: Section D	Food	15	15		
	Wood	20	20		
	Publishing, printing and recorded media	22	22		
	Chemicals	24	24		
	Plastics & rubber	25	25		
	Non metallic mineral products	26	26		
	Fabricated metal products	28	28		
	Machinery and equipment	29	29		
	Electronics	31	31		
	Precision instruments	33	33		
	Furniture	36	36		
	Other manufacturing	Tobacco products	16	16	
		Textiles	17	17	
		Garments	18	18	
		Tanning & leather	19	19	
		Paper & paper products	21	21	
		Coke & refined petroleum	23	23	
		Basic metals	27	27	
		Office machinery	30	30	
		Communication equipment	32	32	
		Motor vehicles	34	34	
		Other transport equipment	35	35	
		Recycling	37	37	
		Retail	Retail	52	52
		Other services	Wholesale	51	51
	IT		72	72	
	Hotel and restaurants: section H		55	55	
	Services of motor vehicles		50	50	
	Construction Section F:		45	45	
	Transport (60-62)		60	60	
	Supporting transport activities		63	63	
	Post and telecommunications		64	64	

A.5 Sector match between screener information and sample frame	a5
Yes, screener and sample frame info match	1
No, screener and sample frame do not match but establishment still does activities which match sample frame	2
No, does not match	3

A.6 Size	Sampling size a6a	Screener size a6b
Micro <5	0	0
Small >=5 and <=19	1	1
Medium >=20 and <=99	2	2
Large >=100	3	3

A.7 Establishment is part of a larger firm	a7
Yes	1
No, a firm on its own	2

A.7a	a7a
Number of establishments that form the firm	

A.8 Type of establishment	a8
HQ without production and/or sales in this location	1
HQ with production and/or sales in this location	2
Establishment physically separated from HQ and other establishments of the same firm	3
Establishment physically separated from HQ but with other establishments of the same firm	4
DOES NOT APPLY	-7

A.9 Are establishment's financial statements prepared separately from HQ's statements	a9
Yes	1
No	2
DOES NOT APPLY	-7

A.10 Are establishment's financial statements prepared separately from other establishments of the same firm?	a10
Yes	1
No	2
DOES NOT APPLY	-7

A.11 If national HQ, are financial statements independent from the rest of establishments?	a11
Yes	1
No	2
DOES NOT APPLY	-7

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A.11a Number of establishments - counting the headquarters - included in the financial statements kept in national HQ

	a11a
Number of establishments	
ONE	1
DOES NOT APPLY	-7

A.12 Interviewer code

	a12
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A.13 Supervisor code

	a13
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A.14 Time face-to-face interview begins:

Day (dd)	Month (mm)	Year (yyyy)	Hour (00 to 23)	Minutes (00 to 59)
a14d	a14m	a14y	a14h	a14min

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING.

The goal of this survey is to gather information and opinions about the business environment in [insert country name]. The information gathered here will help to develop new policies and programs that enhance employment and economic growth.

The information obtained here will be held in the strictest confidentiality. Neither your name nor the name of your business will be used in any document based on this survey.

B. GENERAL INFORMATION

READ OUT THE FOLLOWING INTRODUCTORY SENTENCE ONLY IF A7 = 1 (yes):

The first few questions apply to the firm which your establishment is part of.

B.1 What is this firm's current legal status?

SHOW CARD 1

Shareholding company with shares traded in the stock market	1
Shareholding company with non-traded shares or shares traded privately	2
Sole proprietorship	3
Partnership	4
Limited partnership	5
OTHER (SPONTANEOUS - SPECIFY)	6
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION B.2

b1

Other

b1x

INTERVIEWER: PLEASE NOTE WHEN b1 IS 3 (SOLE PROPRIETORSHIP), WRITE 100% FOR QUESTION B.3.

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B.3 What percentage of this firm does the largest owner or owners own?

	Percent
Percentage held by largest owner or owners	%
DON'T KNOW (SPONTANEOUS)	-9

b3

B.2 What percentage of this firm is owned by each of the following:
SHOW CARD 2

	Percent	DON'T KNOW (SPONTANEOUS)	
Private domestic individuals, companies or organizations	%	-9	b2a
Private foreign individuals, companies or organizations	%	-9	b2b
Government or State	%	-9	b2c
Other	%	-9	b2d
100%			

IF 100% END INTERVIEW

**INTERVIEWER: CHECK THAT TOTAL SUMS TO 100%
(UNLESS RESPONDENT DOES NOT KNOW)**

B.4 Amongst the owners of the firm, are there any females?

Yes	1	<i>GO TO QUESTION Q.5 GO TO QUESTION Q.5</i>
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

b4

B.4a What percentage of the firm is owned by females? **[NON-RUSSIA ONLY]**

	Percent
Percentage of female ownership	%
DON'T KNOW (SPONTANEOUS)	-9

b4a

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Q.5	How was this firm established? SHOW CARD 3
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Privatization of a state-owned firm	1
Originally private, from time of start up	2
Private subsidiary of a formerly state-owned firm	3
Joint venture with foreign partner(s)	4
State-owned firm	5
OTHER (SPONTANEOUS - SPECIFY)	6

ECAq5

Other	
--------------	--

ECAq5x

READ ONLY IF A7=1 (yes)
I want to proceed by asking you about this establishment only.

B.5	In what year did this establishment begin operations?
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	Year
Year establishment began operations	
DON'T KNOW (SPONTANEOUS)	-9

b5

INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR

B.6	How many full-time employees did this establishment employ when it started operations? Please include all employees and managers. (INTERVIEWER: INCLUDE RESPONDENT WHEN APPLICABLE)
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	Number
Number of full-time employees at start-up	
DON'T KNOW (SPONTANEOUS)	-9

b6

B.6a	Was this establishment formally registered when it began operations?
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Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

b6a

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B.6b In what year was this establishment formally registered?

	Year
Year establishment formally registered	
DON'T KNOW (SPONTANEOUS)	-9
NEVER REGISTERED (SPONTANEOUS)	-7

b6b

INTERVIEWER: WRITE YEAR USING 4 DIGITS.

B.7 How many years of experience working in this sector does the Top Manager have?

	Years
Manager's experience in sector	
LESS THAN ONE YEAR	1
DON'T KNOW (SPONTANEOUS)	-9

b7

B.7a Is the Top Manager female?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

b7a

B.8 Does this establishment have an internationally-recognized quality certification?
(INTERVIEWER: IF THERE IS NEED FOR CLARIFICATION, SOME EXAMPLES ARE: ISO 9000, 9002 14000, OR HACCP)

Yes	1
No	2
STILL IN PROCESS	-6
DON'T KNOW (SPONTANEOUS)	-9

b8

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C. INFRASTRUCTURE AND SERVICES

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING.

Now, we turn to the establishment's operations.

C.3 Over the last two years, did this establishment submit an application to obtain an electrical connection?

Yes	1	<i>GO TO QUESTION C.6</i>
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

c3

C.4 In reference to that application for an electrical connection, approximately how many days did it take to obtain it from the day of the application to the day the service was received?

	Days
Wait for electrical connection	
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

c4

C.5 In reference to that application for an electrical connection, was an informal gift or payment expected or requested?

Yes	1	
No	2	
REFUSAL (SPONTANEOUS)	-8	
DON'T KNOW (SPONTANEOUS)	-9	

c5

C.6 Over fiscal year **[insert last complete fiscal year]**, did this establishment experience power outages?

Yes	1	<i>GO TO QUESTION C.10</i>
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

c6

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C.7 In a typical month, over fiscal year **[insert last complete fiscal year]**, how many power outages did this establishment experience?

	Number	
Average number of power outages per month		IF 0, GO TO QUESTION C.9 GO TO QUESTION C.9
DON'T KNOW (SPONTANEOUS)	-9	

C.8 How long did these power outages last on average?

	Hours	
Average duration of power outages		c8
LESS THAN ONE HOUR	1	
DON'T KNOW (SPONTANEOUS)	-9	

C.9 Please estimate the losses that resulted from power outages either as a percentage of total annual sales or as total annual losses.

	Percent	
Loss as percentage of total annual sales due to power outages	%	c9a
NONE	0	
DON'T KNOW (SPONTANEOUS)	-9	

PROVIDE EITHER ONE OR THE OTHER, NOT BOTH

	LCUs	
Annual losses of total sales due to power outages		c9b
NONE	0	
DON'T KNOW (SPONTANEOUS)	-9	

C.10 Over the course of fiscal year **[insert last complete fiscal year]**, did this establishment own or share a generator?

Yes	1	GO TO QUESTION C.12 GO TO QUESTION C.12
No	2	
DON'T KNOW (SPONTANEOUS)	-9	
		c10

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C.11 In fiscal year **[insert last complete fiscal year]**, what percentage of this establishment's electricity came from a generator or generators that the establishment owned or shared?

	Percent
Percentage of electricity from generators	%
DON'T KNOW (SPONTANEOUS)	-9

c11

C.12 Over the last two years, did this establishment submit an application to obtain a water connection?

Yes	1	GO TO QUESTION C.15 GO TO QUESTION C.15
No	2	
DON'T KNOW (SPONTANEOUS)	-9	
<table border="1" style="border-collapse: collapse;"> <tr> <td style="padding: 2px 5px;">c12</td> </tr> </table>		
c12		

C.13 In reference to that application for a water connection, approximately how many days did it take to obtain it from the day of the application to the day the service was received?

	Days
Wait for water connection	
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

c13

C.14 In reference to that application for a water connection, was an informal gift or payment expected or requested?

Yes	1	GO TO QUESTION C.22a GO TO QUESTION C.22a
No	2	
REFUSAL (SPONTANEOUS)	-8	
DON'T KNOW (SPONTANEOUS)	-9	

c14

C.15 Over fiscal year **[insert last complete fiscal year]**, did this establishment experience insufficient water supply for production?

Yes	1	GO TO QUESTION C.22a GO TO QUESTION C.22a
No	2	
THE ESTABLISHMENT DOES NOT USE WATER FOR PRODUCTION	-7	
DON'T KNOW (SPONTANEOUS)	-9	

c15

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C.16 In a typical month, over fiscal year **[insert last complete fiscal year]**, how many incidents of insufficient water supply did this establishment experience?

	Number	
Average number of incidents of water insufficiency per month		IF 0, GO TO QUESTION C.22a GO TO QUESTION C.22a
DON'T KNOW (SPONTANEOUS)	-9	
		c16

C.17 How long did these incidents of insufficient water supply last on average?

	Hours	
Average duration of insufficient water supply		c17
LESS THAN ONE HOUR	1	
DON'T KNOW (SPONTANEOUS)	-9	

C.22a At the present time, does this establishment use e-mail to communicate with clients or suppliers?

Yes	1	c22a
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

C.22b At the present time, does this establishment have its own website?

Yes	1	c22b
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

C.23 Does this establishment have a high-speed Internet connection on its premises?

Yes	1	c23
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

C.28 Does this establishment currently use cell phones for the operations of the establishment?
[NON-RUSSIA ONLY]

Yes	1	c28
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

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C.30a	Using the response options on the card; To what degree is Electricity an obstacle to the current operations of this establishment? SHOW CARD 4
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No obstacle	0	<i>GO TO QUESTION C.30b</i>
Minor obstacle	1	
Moderate obstacle	2	
Major obstacle	3	
Very severe obstacle	4	
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION C.30b</i>
DOES NOT APPLY (SPONTANEOUS)	-7	<i>GO TO QUESTION C.30b</i>
		c30a

C.31a1	Would this establishment's total annual costs this establishment's total annual costs increase, remain the same or decrease over the next fiscal year if electricity is no longer an obstacle?
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Increase	1	
Remain the same	2	<i>GO TO QUESTION C.30b</i>
Decrease	3	<i>GO TO QUESTION C.31a3</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION C.30b</i>
		ECAc31a1

C.31a2	By what percentage would this establishment's total annual costs this establishment's total annual costs INCREASE in the next fiscal year?
---------------	---

	Percent	
Expected percentage INCREASE in total annual cost in the next fiscal year	%	<i>GO TO QUESTION C.30b</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION C.30b</i>
		ECAc31a2

C.31a3	By what percentage would this establishment's total annual costs DECREASE in the next fiscal year?
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	Percent	
Expected percentage DECREASE in total annual cost in the next fiscal year	%	
DON'T KNOW (SPONTANEOUS)	-9	
		ECAc31a3

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C.30b	Using the response options on the card; To what degree is Telecommunications an obstacle to the current operations of this establishment? SHOW CARD 4
--------------	--

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

c30b

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D. SALES AND SUPPLIES

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:
The next topic to be covered is how and where this establishment makes its sales.

D.1a1 In fiscal year **[insert last complete fiscal year]**, what was this establishment's main product, that is, the product that represented the largest proportion of annual sales?
(INTERVIEWER: PLEASE SPECIFY THE ACTIVITY AND MAIN PRODUCT IN AS MUCH DETAIL AS POSSIBLE, FOR EX., MANUFACTURING OF LEATHER SHOES.)

Description

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d1a1x

INTERVIEWER: THE FOLLOWING QUESTION IS NOT PART OF THE INTERVIEW. IT WILL BE FILLED OUT IN THE OFFICE

D.1a2 **PLEASE CHOOSE THE 4 DIGIT ISIC REV. 3.1 CODE THAT BEST APPLIES TO THE ESTABLISHMENT'S MAIN ACTIVITY AND PRODUCT OR SERVICE**

	Code
CODE OF THE MAIN PRODUCT OR SERVICE AND ACTIVITY	

d1a2

D.1a3 What percentage of total sales does the main product represent?

	Percent
Percentage of sales represented by main product	%
DON'T KNOW (SPONTANEOUS)	-9

d1a3

INTERVIEWER: PLEASE NOTE THAT THE NEXT QUESTION REFERS TO THE TOTAL SALES OF ALL PRODUCTS AND SERVICES.

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D.2 In fiscal year **[insert last complete fiscal year]**, what were this establishment's total annual sales for all products and services?

	LCUs	
Last complete fiscal year's total sales		
DON'T KNOW (SPONTANEOUS)	-9	

d2

PLEASE ALSO WRITE OUT THE NUMBER (I.E. 50,000 AS FIFTY THOUSAND)
d2x

N.3 In fiscal year **[insert three complete fiscal years ago]**, three fiscal years ago, what were total annual sales for this establishment?

	LCUs	
Total annual sales three years ago		
THE ESTABLISHMENT WAS NOT IN BUSINESS THREE YEARS AGO	-7	
DON'T KNOW (SPONTANEOUS)	-9	

n3

D.3 In fiscal year **[insert last complete fiscal year]**, what percentage of this establishment's sales were: **(INTERVIEWER: THESE MUST BE ASKED IN THE ORDER THEY APPEAR IN THE TABLE)**
SHOW CARD 5

	Percent	DON'T KNOW (SPONTANEOUS)	
National sales	%	-9	d3a
Indirect exports (sold domestically to third party that exports products)	%	-9	d3b
Direct exports	%	-9	d3c
	100%		

IF 100, GO TO QUESTION D.10
IF 100, GO TO QUESTION D.8
IF 0, GO TO QUESTION D.8

**INTERVIEWER: CHECK THAT TOTAL SUMS TO 100%
 (UNLESS RESPONDENT DOES NOT KNOW)**

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D.4 In fiscal year **[insert last complete fiscal year]**, when this establishment exported goods directly, how many days did it take on average from the time this establishment's goods arrived at their main point of exit (e.g., port, airport) until the time these goods cleared customs?

	Days
Average number of days to clear customs	
LESS THAN ONE DAY	1
DOES NOT APPLY (SPONTANEOUS)	-7
DON'T KNOW (SPONTANEOUS)	-9

d4

D.6 In fiscal year **[insert last complete fiscal year]**, what percentage of the value of the products exported directly was lost while in transit because of theft?

	Percent
Losses due to theft as percentage of the value of the products	%
NO LOSSES	0
DOES NOT APPLY (SPONTANEOUS)	-7
DON'T KNOW (SPONTANEOUS)	-9

d6

D.7 In fiscal year **[insert last complete fiscal year]**, what percentage of the value of the products exported directly was lost while in transit because of breakage or spoilage?

	Percent
Losses due to breakage or spoilage as percentage of the value of the products	%
NO LOSSES	0
DOES NOT APPLY (SPONTANEOUS)	-7
DON'T KNOW (SPONTANEOUS)	-9

d7

D.8 In which year did this establishment first export directly or indirectly?
[NON-RUSSIA ONLY]

	Year
Began exporting directly or indirectly	
DON'T KNOW (SPONTANEOUS)	-9

d8

INTERVIEWER: WRITE YEAR USING 4 DIGITS.

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D.10 In fiscal year **[insert last complete fiscal year]**, what percentage of the value of products this establishment shipped to supply domestic markets was lost while in transit because of theft?

	Percent
Losses due to theft as percentage of the value of the products	%
NO LOSSES	0
DON'T KNOW (SPONTANEOUS)	-9
NO INTERNAL SHIPMENTS MADE (DOES NOT APPLY)	-7

GO TO QUESTION Q.15

d10

D.11 In fiscal year **[insert last complete fiscal year]**, what percentage of value of products this establishment shipped to supply domestic markets was lost while in transit because of breakage or spoilage?

	Percent
Losses due to breakage or spoilage as percentage of the value of the products	%
NO LOSSES	0
DON'T KNOW (SPONTANEOUS)	-9
NO INTERNAL SHIPMENTS MADE (DOES NOT APPLY)	-7

d11

Q.15 In fiscal year **[insert last complete fiscal year]**, what percentage of your national sales were to government or government agencies, excluding state-owned enterprises?

	Percent
Government or government agencies, excluding state-owned enterprises	%
NO DOMESTIC SALES – DOES NOT APPLY (SPONTANEOUS)	-7
DON'T KNOW (SPONTANEOUS)	-9

ECAq15a

D.12 In fiscal year **[insert last complete fiscal year]**, as a proportion of all material inputs or supplies purchased that year, what percentage of this establishment's material inputs or supplies were: **SHOW CARD 6**

	Percent	DON'T KNOW (SPONTANEOUS)	
Material inputs or supplies of domestic origin	%	-9	d12a
Material inputs or supplies of foreign origin	%	-9	d12b
100%			

IF 0, GO TO QUESTION D.16

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100%
(UNLESS RESPONDENT DOES NOT KNOW)

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D.13 Were any of the material inputs or supplies purchased in fiscal year **[insert last complete fiscal year]**, imported directly?

Yes	1	<i>GO TO QUESTION D.16 GO TO QUESTION D.16</i>
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

d13

D.14 In fiscal year **[insert last complete fiscal year]**, when this establishment imported material inputs or supplies, how many days did it take on average from the time these goods arrived to their point of entry (e.g. port, airport) until the time these goods could be claimed from customs?

	Days
Average number of days to clear customs	
LESS THAN ONE DAY	1
DON'T KNOW (SPONTANEOUS)	-9

d14

D.16 At the present time, when this establishment receives delivery of its most important input, on average, how many days of inventory, measured in days of production, does this establishment keep?
(INTERVIEWER: IF RESPONDENT REQUIRES CLARIFICATION, DEFINE DAYS OF INVENTORY AS STOCK ON HAND)

	Days
Days of inventory of most important input	
DON'T KNOW (SPONTANEOUS)	-9

d16

D.30a Using the response options on the card; To what degree is **Transport** an obstacle to the current operations of this establishment?
SHOW CARD 7

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

d30a

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D.30b	Using the response options on the card; To what degree are Customs and Trade Regulation an obstacle to the current operations of this establishment? SHOW CARD 7
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No obstacle	0	<i>GO TO QUESTION E.1</i>
Minor obstacle	1	
Moderate obstacle	2	
Major obstacle	3	
Very severe obstacle	4	
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION E.1</i>
DOES NOT APPLY (SPONTANEOUS)	-7	<i>GO TO QUESTION E.1</i>

d30b

D.31b1	Would this establishment's total annual costs increase, remain the same or decrease over the next fiscal year if customs and trade regulations are no longer an obstacle?
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Increase	1	<i>GO TO QUESTION E.1</i>
Remain the same	2	
Decrease	3	
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION E.1</i>

ECAd31b1

D.31b2	By what percentage would this establishment's total annual costs INCREASE in the next fiscal year?
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	Percent	
Expected percentage INCREASE in total annual cost in the next fiscal year	%	<i>GO TO QUESTION E.1</i>
DON'T KNOW (SPONTANEOUS)	-9	

ECAd31b2

D.31b3	By what percentage would this establishment's total annual costs DECREASE in the next fiscal year?
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	Percent	
Expected percentage DECREASE in total annual cost in the next fiscal year	%	<i>GO TO QUESTION E.1</i>
DON'T KNOW (SPONTANEOUS)	-9	

ECAd31b3

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E. DEGREE OF COMPETITION

E.1 In fiscal year **[insert last complete fiscal year]**, which of the following was the main market in which this establishment sold its main product?
SHOW CARD 8

Local – main product sold mostly in same municipality where establishment is located	1
National – main product sold mostly across the country where establishment is located	2
International - main product sold mostly to nations outside country where establishment is located	3
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION E.6

GO TO QUESTION E.6

e1

E.2 In fiscal year **[insert last complete fiscal year]**, for the main market in which this establishment sold its main product, how many competitors did this establishment's main product face?

Number of competitors	
TOO MANY TO COUNT (SPONTANEOUS)	-4
DON'T KNOW (SPONTANEOUS)	-9

e2b

E.6 Does this establishment at present use technology licensed from a foreign-owned company, excluding office software?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

e6

Q.53 Over the last three years has this establishment received any subsidies from the national, regional or local governments or European Union sources?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

ECAq53

E.11 Does this establishment compete against unregistered or informal firms?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

e11

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E.30	Using the response options on the card; To what degree are Practices of Competitors in the Informal Sector an obstacle to the current operations of this establishment? SHOW CARD 9
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No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

e30

QUESTIONNAIRE NUMBER

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H. INNOVATION

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING

And now we switch to a different topic. In this section “new” means products, services, processes, practices, methods etc. that are new to the firm but not necessarily new to the market or other firms, and it could have been originally developed by other firms

INTERVIEWER: SHOW CARDS IN THIS SECTION CONTAIN EXAMPLES OF INNOVATIONS. THEY ARE MEANT AS EXAMPLES ONLY - THEY DO NOT CONTAIN ALL POSSIBLE INNOVATIONS.

INTERVIEWER: PLEASE WRITE THE RESPONSES BELOW AS WELL AS COPY THEM TO THE DECISION MATRIX.

Goods and services innovation

H.1 During the last three years, has this establishment introduced **new** or **significantly improved** products or services? Please exclude the simple resale of new goods purchased from others and changes of a solely aesthetic nature.
SHOW CARD 10

Yes	1	COMPLETE INNOVATION ELIGIBILITY SHEET
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

h1

H.2 Were any of the **new** or **significantly improved** products or services of this establishment new to one of this establishment’s markets?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

h2

Process innovation

H.3 During the last three years, has this establishment introduced any **new** or **significantly improved** methods for the production or supply of products or services?
SHOW CARD 11

Yes	1	COMPLETE INNOVATION ELIGIBILITY SHEET
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

h3

QUESTIONNAIRE NUMBER

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Organisational innovation

H.4	During the last three years, has this establishment introduced any new or significantly improved organizational or management practices or structures? SHOW CARD 12
------------	---

Yes	1	<i>COMPLETE INNOVATION ELIGIBILITY SHEET</i>
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

h4

Marketing innovation

H.5	During the last three years, has this establishment introduced new or significantly improved marketing methods? SHOW CARD 13
------------	--

Yes	1	<i>COMPLETE INNOVATION ELIGIBILITY SHEET</i>
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

h5

Innovation activity

H.6	During the last three years, did this establishment spend on research and development activities, either in-house or contracted with other companies (outsourced)?
------------	--

(INTERVIEWER: Research and development (R&D) is defined as creative work undertaken on a systematic basis in order to increase the stock of knowledge. For example, laboratory research for a new chemical compound of paint would be research and development while market research surveys or internet surfing would not be research and development.)

Yes	1	<i>COMPLETE INNOVATION ELIGIBILITY SHEET</i>
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

h6

H.7	During the last three years, has this establishment introduced any new or significantly improved logistical or business support processes? [NON-RUSSIA ONLY] SHOW CARD 14
------------	--

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

ECAh4

QUESTIONNAIRE NUMBER

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H.8	During the last three years, did this establishment give employees some time to develop or try out a new approach or new idea about products or services, business process, firm management, or marketing? [NON-RUSSIA ONLY]
------------	---

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

ECAh8

QUESTIONNAIRE NUMBER

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F. CAPACITY

F.1	In fiscal year [insert last complete fiscal year] , what was this establishment's output produced as a proportion of the maximum output possible if using all the resources available (capacity utilization)?
------------	--

	Percent
Capacity utilization	%
DON'T KNOW (SPONTANEOUS)	-9

f1

F.2	In fiscal year [insert last complete fiscal year] , how many hours per week did this establishment normally operate? (INTERVIEWER: RESPONSE MUST BE 168 HOURS OR LESS)
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	Hours
Typical hours of operation in a week	
DON'T KNOW (SPONTANEOUS)	-9

f2

QUESTIONNAIRE NUMBER

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G. LAND AND PERMITS

G.1 Of the land occupied by this establishment, what percent is:
SHOW CARD 15

	Percent	SPONTANEOUS		
		DOES NOT APPLY	DON'T KNOW	
Owned by this establishment	%	-7	-9	g1a
Rented or leased by this establishment	%	-7	-9	g1b
Other	%	-7	-9	g1c
100%				

**INTERVIEWER: CHECK THAT TOTAL SUMS TO 100%
IF RESPONDENT DOES NOT KNOW SELECT "DON'T KNOW"
IF ESTABLISHMENT OCCUPIES A FLOOR IN A BUILDING SELECT "DOES NOT APPLY"**

G.2 Over the last two years, did this establishment submit an application to obtain a construction-related permit?

Yes	1	
No	2	GO TO QUESTION G.6
DON'T KNOW (SPONTANEOUS)	-9	GO TO QUESTION G.6
		g2

G.3 In reference to that application for a construction-related permit, approximately how many days did it take to obtain it from the day of the application to the day the permit was granted?

	Days	
Wait for a construction-related permit		
LESS THAN ONE DAY	1	
STILL IN PROCESS	-6	
APPLICATION DENIED	-5	
DON'T KNOW (SPONTANEOUS)	-9	
		g3

G.4 In reference to that application for a construction-related permit, was an informal gift or payment expected or requested?

Yes	1	
No	2	
DON'T KNOW (SPONTANEOUS)	-9	
REFUSAL (SPONTANEOUS)	-8	
		g4

QUESTIONNAIRE NUMBER

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G.6	Of the buildings occupied by this establishment, what percentage is owned and what percentage is rented or leased? SHOW CARD 16
------------	---

	Percent	DON'T KNOW (SPONTANEOUS)	
Owned by this establishment	%	-9	g6a
Rented or leased by this establishment	%	-9	g6b
Other	%	-9	g6c
	100%		

**INTERVIEWER: CHECK THAT TOTAL SUMS TO 100%
(UNLESS RESPONDENT DOES NOT KNOW)**

G.30	Using the response options on the card; To what degree is Access to Land an obstacle to the current operations of this establishment? SHOW CARD 17
-------------	---

No obstacle	0	
Minor obstacle	1	
Moderate obstacle	2	
Major obstacle	3	
Very severe obstacle	4	
DON'T KNOW (SPONTANEOUS)	-9	
DOES NOT APPLY (SPONTANEOUS)	-7	

g30a

QUESTIONNAIRE NUMBER

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I. CRIME

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:
We now turn to questions on another topic.

I.1 In fiscal year **[insert last complete fiscal year]**, did this establishment pay for security, for example equipment, personnel, or professional security services?

Yes	1	GO TO QUESTION I.3 GO TO QUESTION I.3
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

i1

I.2 In fiscal year **[insert last complete fiscal year]**, what percentage of this establishment's total annual sales was paid for security, or what was the total annual cost of security?

	Percent
Percentage of total annual sales for security	%
DON'T KNOW (SPONTANEOUS)	-9

i2a

PROVIDE EITHER ONE OR THE OTHER, NOT BOTH

	LCUs
Total annual cost of security	
DON'T KNOW (SPONTANEOUS)	-9

i2b

I.3 In fiscal year **[insert last complete fiscal year]**, did this establishment experience losses as a result of theft, robbery, vandalism or arson on this establishment's premises?

Yes	1	GO TO QUESTION I.30 GO TO QUESTION I.30
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

i3

QUESTIONNAIRE NUMBER

--	--	--	--	--	--	--

I.4 In fiscal year **[insert last complete fiscal year]**, what were the estimated losses as a result of theft, robbery, vandalism or arson that occurred on this establishment's premises either as a percentage of total annual sales or as total annual losses?

	Percent
Losses as percentage of total annual sales	%
DON'T KNOW (SPONTANEOUS)	-9

i4a

PROVIDE EITHER ONE OR THE OTHER, NOT BOTH

	LCUs
Total annual value of losses	
DON'T KNOW (SPONTANEOUS)	-9

i4b

I.30 Using the response options on the card; To what degree is **Crime, Theft and Disorder** an obstacle to the current operations of this establishment?
SHOW CARD 18

No obstacle	0	<i>GO TO QUESTION K.1</i>
Minor obstacle	1	
Moderate obstacle	2	
Major obstacle	3	
Very severe obstacle	4	
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION K.1</i>
DOES NOT APPLY (SPONTANEOUS)	-7	<i>GO TO QUESTION K.1</i>

i30

I.31a1 Would this establishment's total annual costs increase, remain the same or decrease over the next fiscal year if crime, theft, and disorder is no longer an obstacle?

Increase	1	
Remain the same	2	<i>GO TO QUESTION K.1</i>
Decrease	3	<i>GO TO QUESTION I.31a3</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION K.1</i>

ECAi31a1

QUESTIONNAIRE NUMBER

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I.31a2	By what percentage would this establishment's total annual costs INCREASE in the next fiscal year?
---------------	--

	Percent	
Expected percentage INCREASE in total annual cost in the next fiscal year	%	<i>GO TO QUESTION K.1</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION K.1</i>

ECAi31a2

I.31a3	By what percentage would this establishment's total annual costs DECREASE in the next fiscal year?
---------------	--

	Percent	
Expected percentage DECREASE in total annual cost in the next fiscal year	%	
DON'T KNOW (SPONTANEOUS)	-9	

ECAi31a3

QUESTIONNAIRE NUMBER

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K. FINANCE

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:
I would like to ask you a few questions about how you finance the operations of this establishment.

K.1 In fiscal year **[insert last complete fiscal year]**, what percentage of material inputs or services were purchased on credit - paid after delivery?

	Percent
Paid after delivery?	%
DON'T KNOW (SPONTANEOUS)	-9

k1c

K.2 In fiscal year **[insert last complete fiscal year]**, what percentage of this establishment's total annual sales of goods and services were sold on credit - paid after delivery?

	Percent
Paid after delivery?	%
DON'T KNOW (SPONTANEOUS)	-9

k2c

K.3 Over fiscal year **[insert last complete fiscal year]**, please estimate the proportion of this establishment's working capital that was financed from each of the following sources:
(INTERVIEWER: WORKING CAPITAL IS THE MONEY AVAILABLE TO THE ESTABLISHMENT FOR DAY-TO-DAY OPERATIONS)
SHOW CARD 19

	Percent	DON'T KNOW (SPONTANEOUS)	
Internal funds or retained earnings	%	-9	k3a
Borrowed from banks (private and state-owned)	%	-9	k3bc
Borrowed from non-bank financial institutions which include microfinance institutions, credit cooperatives, credit unions, or finance companies	%	-9	k3e
Purchases on credit from suppliers and advances from customers	%	-9	k3f
Other (moneylenders, friends, relatives, etc.)	%	-9	k3hd
100%			

**INTERVIEWER: CHECK THAT TOTAL SUMS TO 100%
 (UNLESS RESPONDENT DOES NOT KNOW)**

K.4 In fiscal year **[insert last complete fiscal year]**, did this establishment purchase any fixed assets, such as machinery, vehicles, equipment, land or buildings?

Yes	1	GO TO QUESTION K.4a GO TO QUESTION K.4a
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

k4

QUESTIONNAIRE NUMBER

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N.5 In fiscal year [insert last complete fiscal year], how much did this establishment spend on purchases of:

	LCUs	DON'T KNOW (SPONTANEOUS)	
Machinery, vehicles, and equipment (new or used)		-9	n5a
Land and buildings		-9	n5b

K.5 Over fiscal year [insert last complete fiscal year], please estimate the proportion of this establishment's total purchase of fixed assets that was financed from each of the following sources:
SHOW CARD 20

	Percent	DON'T KNOW (SPONTANEOUS)	
Internal funds or retained earnings	%	-9	k5a
Owners' contribution or issued new equity shares	%	-9	k5i
Borrowed from banks: private and state-owned	%	-9	k5bc
Borrowed from non-bank financial institutions which include microfinance institutions, credit cooperatives, credit unions, or finance companies	%	-9	k5e
Purchases on credit from suppliers and advances from customers	%	-9	k5f
Other (moneylenders, friends, relatives, bonds, etc.)	%	-9	k5hdj
	100%		

**INTERVIEWER: CHECK THAT TOTAL SUMS TO 100%
(UNLESS RESPONDENT DOES NOT KNOW)**

K.4a In fiscal year [insert last complete fiscal year], did this establishment lease any fixed assets, such as machinery, vehicles, equipment, land or buildings?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

ECAk4a

K.6 Now let's talk about the establishment's current situation. At this time, does this establishment have a checking or savings account?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

k6

QUESTIONNAIRE NUMBER

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K.7 At this time, does this establishment have an overdraft facility?
(INTERVIEWER: THE QUESTION IS ASKING ABOUT THE EXISTENCE OF AN AGREEMENT WITH A BANK THAT AN OVERDRAFT IS POSSIBLE)

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

k7

K.8 At this time, does this establishment have a line of credit or a loan from a financial institution?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION K.15d
GO TO QUESTION K.15d

k8

K.9 Referring to the most recent line of credit or loan, what type of financial institution granted this loan?
SHOW CARD 21

Private commercial banks	1
State-owned banks or government agency	2
Non-bank financial institutions	3
Other	4
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION K.10
GO TO QUESTION K.10
GO TO QUESTION K.10

k9

K.9a Which bank provided the most recent line of credit or loan?
SHOW CARD 22

Bank 1	1
Bank 2	2
Bank 3	3
Bank 4	4
Bank 5	5
Bank 6	6
Bank 7	7
Bank 8	8
Bank 9	9
Bank 10	10
OTHER (SPONTANEOUS - SPECIFY)	11
DON'T KNOW (SPONTANEOUS)	-9

ECAk9a

Other _____

ECAk9ax

QUESTIONNAIRE NUMBER

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K.10 Referring only to this most recent line of credit or loan, in what year was the most recent line of credit or loan approved?

	Year
Year most recent loan or line of credit was approved	
DON'T KNOW (SPONTANEOUS)	-9

k10

INTERVIEWER: WRITE YEAR USING 4 DIGITS.

K.11 Referring only to this most recent loan or line of credit, what was its value at the time of approval?

	LCUs
Size of most recent loan or line of credit approved	
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

k11

Q.46f In what currency is the most recent line of credit or loan denominated?

Local currency	1
Euro	2
US Dollar	3
OTHER (SPONTANEOUS - SPECIFY)	4

ECAq46f

Other	
--------------	--

ECAq46fx

Q.46d What is the annual nominal interest rate (in percent) of the most recent line of credit or loan?
(INTERVIEWER: IF THE RESPONDENT SAYS THAT THE INTEREST RATE IS VARIABLE, PLEASE ASK THE RESPONDENT TO CALCULATE THE AVERAGE ANNUAL INTEREST RATE)

	Percent
Annual nominal interest rate (%)	
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

ECAq46d

Q.46e What was the original duration of the most recent line of credit or loan in months?

	Months
Duration	
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

ECAq46e

QUESTIONNAIRE NUMBER

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K.13 Referring only to this most recent loan or line of credit, did the financing require collateral?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION K.15a1
GO TO QUESTION K.15a1

k13

K.14 Referring only to this most recent loan or line of credit, what type of collateral was required?
(INTERVIEWER: READ OUT)

Collateral	Yes	No	DON'T KNOW (SPONTANEOUS)	
Land, buildings under ownership of the establishment	1	2	-9	k14a
Machinery and equipment including movables	1	2	-9	k14b
Accounts receivable and inventories	1	2	-9	k14c
Personal assets of owner (house, etc.)	1	2	-9	k14d
Other forms of collateral not included in the categories above	1	2	-9	k14e

K.15a Referring only to this most recent line of credit or loan, what was the approximate value of the collateral required?

	LCUs
Value of collateral	
DON'T KNOW (SPONTANEOUS)	-9

k15a

K.15a1 What is the outstanding balance on this most recent line of credit or loan?

	LCUs
Outstanding balance on the most recent line of credit or loan	
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

ECAk15a1

K.15b What is the total number of outstanding loans or lines of credit held by this establishment?

	Number
Total number of loans outstanding	
DON'T KNOW (SPONTANEOUS)	-9

IF 1, GO TO QUESTION K.15d
GO TO QUESTION K.15d

k15b

QUESTIONNAIRE NUMBER

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K.15c What is the total value of outstanding loans or lines of credit held by this establishment?

	LCUs
Total value of loans outstanding	
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

k15c

K.15d At this time, does the owner of this establishment have any outstanding personal loans that are used to finance this establishment's business activities?

Yes	1	
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

k15d

K.16 Referring again to fiscal year **[insert last complete fiscal year]**, did this establishment apply for any loans or lines of credit?

Yes	1	<i>GO TO QUESTION K.20</i>
No	2	
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION K.21</i>

k16

K.17 What was the **main** reason why this establishment did not apply for any line of credit or loan?
SHOW CARD 23
INTERVIEWER: ONE ANSWER ONLY

No need for a loan - establishment had sufficient capital	1	<i>GO TO QUESTION K.21</i>
Application procedures were complex	2	<i>GO TO QUESTION K.21</i>
Interest rates were not favorable	3	<i>GO TO QUESTION K.21</i>
Collateral requirements were too high	4	<i>GO TO QUESTION K.21</i>
Size of loan and maturity were insufficient	5	<i>GO TO QUESTION K.21</i>
It is necessary to make informal payments to get bank loans	6	<i>GO TO QUESTION K.21</i>
Did not think it would be approved	7	<i>GO TO QUESTION K.21</i>
Other	8	<i>GO TO QUESTION K.21</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION K.21</i>

ECAk17

K.20 Referring only to this most recent application for a line of credit or loan, what was the outcome of that application?

Application was approved	1	
Application was rejected	2	
APPLICATION WITHDRAWN BY THE ESTABLISHMENT	-3	
APPLICATION STILL IN PROCESS	-6	
DON'T KNOW (SPONTANEOUS)	-9	

k20a

QUESTIONNAIRE NUMBER

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K.21	In fiscal year [insert last complete fiscal year] , did this establishment's financial statements checked and certified by an external auditor?
-------------	--

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

k21

K.30	Using the response options on the card; To what degree is Access to Finance an obstacle to the current operations of this establishment? SHOW CARD 24
-------------	--

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

k30

QUESTIONNAIRE NUMBER

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J. BUSINESS-GOVERNMENT RELATIONS

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

The following questions assess how establishments, such as this one, deal with government officials and their agencies.

Q.31e Has this establishment been to court as a **plaintiff** or as a **defendant** in the last three years?

Yes	1
No	2
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

ECAq31e

J.1

I am going to read some statements that describe the court system and how it could affect businesses. For each of them, could you please tell me if you Strongly disagree, Tend to disagree, Tend to agree, or Strongly agree.

SHOW CARD 25

	Strongly disagree	Tend to disagree	Tend to agree	Strongly agree	DON'T KNOW (SPONTANEOUS)	
"The court system is fair, impartial and uncorrupted."	1	2	3	4	-9	h7a
"The court system is quick."	1	2	3	4	-9	ECAj1b
"The court system is able to enforce its decisions."	1	2	3	4	-9	ECAj1c

J.2

In a typical week over the last year, what percentage of total senior management's time was spent on dealing with requirements imposed by government regulations?

[By senior management I mean managers, directors, and officers above direct supervisors of production or sales workers. Some examples of government regulations are taxes, customs, labor regulations, licensing and registration, including dealings with officials and completing forms]

	Percent
Senior management's time spent on dealing with regulations	%
NO TIME WAS SPENT	0
DON'T KNOW (SPONTANEOUS)	-9

j2

QUESTIONNAIRE NUMBER

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J.3 Over the last year, was this establishment visited or inspected by tax officials?

Yes	1	
No	2	<i>GO TO QUESTION J.6a</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION J.6a</i>
		j3

J.4 Over the last year, how many times was this establishment either inspected by tax officials or required to meet with them?

	Number
Times inspected or met with tax officials	
DON'T KNOW (SPONTANEOUS)	-9
j4	

J.5 In any of these inspections or meetings was a gift or informal payment expected or requested?

Yes	1	
No	2	
DON'T KNOW (SPONTANEOUS)	-9	
REFUSAL (SPONTANEOUS)	-8	
		j5

J.6a Over the last year, has this establishment secured or attempted to secure a government contract?

Yes	1	
No	2	<i>GO TO QUESTION J.7</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION J.7</i>
		j6a

J.6 When establishments like this one do business with the government, what percent of the contract value would be typically paid in informal payments or gifts to secure the contract?

	Percent
Percent of the contract value paid as informal payments or gifts	%
NO PAYMENTS	0
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8
j6	

QUESTIONNAIRE NUMBER

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J.7	It is said that establishments are sometimes required to make gifts or informal payments to public officials to “get things done” with regard to customs, taxes, licenses, regulations, services etc. On average, what percentage of total annual sales, or estimated total annual value, do establishments like this one pay in informal payments or gifts to public officials for this purpose?
------------	---

	Percent
Percentage of total annual sales paid as informal payment	%
NO PAYMENTS OR GIFTS ARE PAID	0
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j7a

PROVIDE EITHER ONE OR THE OTHER, NOT BOTH

	LCUs
Total annual informal payment	
NO PAYMENTS OR GIFTS ARE PAID	0
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j7b

J.10	Over the last two years, did this establishment submit an application to obtain an import license?
-------------	--

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION J.13
GO TO QUESTION J.13

j10

J.11	Approximately how many days did it take to obtain this import license from the day of the application to the day it was granted?
-------------	--

	Days
Wait for import license	
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

j11

QUESTIONNAIRE NUMBER

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J.12	In reference to that application for an import license, was an informal gift or payment expected or requested?
-------------	--

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j12

J.13	Over the last two years, did this establishment submit an application to obtain an operating license?
-------------	---

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION J.30a
GO TO QUESTION J.30a

j13

J.14	Approximately how many days did it take to obtain this operating license from the day of the application to the day it was granted?
-------------	---

	Days
Wait for operating license	
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

j14

J.15	In reference to that application for an operating license, was an informal gift or payment expected or requested?
-------------	---

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j15

QUESTIONNAIRE NUMBER

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J.30a	Using the response options on the card; To what degree are tax rates an obstacle to the current operations of this establishment? SHOW CARD 26
--------------	---

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

j30a

J.30b	Using the response options on the card; To what degree is tax administration an obstacle to the current operations of this establishment? SHOW CARD 26
--------------	---

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

GO TO QUESTION J.30c

GO TO QUESTION J.30c

GO TO QUESTION J.30c

j30b

J.31b1	Would this establishment's total annual costs increase, remain the same or decrease over the next fiscal year if tax administration is no longer an obstacle?
---------------	---

Increase	1
Remain the same	2
Decrease	3
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION J.30c

GO TO QUESTION J.31b3

GO TO QUESTION J.30c

ECAj31b1

J.31b2	By what percentage would this establishment's total annual costs INCREASE in the next fiscal year?
---------------	--

Expected percentage INCREASE in total annual cost in the next fiscal year	Percent
	%
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION J.30c

GO TO QUESTION J.30c

ECAj31b2

QUESTIONNAIRE NUMBER

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J.31b3 By what percentage would this establishment's total annual costs DECREASE in the next fiscal year?

	Percent
Expected percentage DECREASE in total annual costs in the next fiscal year	%
DON'T KNOW (SPONTANEOUS)	-9

ECAj31b3

J.30c Using the response options on the card; To what degree are **business licensing and permits** an obstacle to the current operations of this establishment?
SHOW CARD 26

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

GO TO QUESTION J.30e

GO TO QUESTION J.30e

GO TO QUESTION J.30e

j30c

J.31c1 Would this establishment's total annual costs increase, remain the same or decrease over the next fiscal year if business licensing and permits are no longer an obstacle?

Increase	1
Remain the same	2
Decrease	3
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION J.30e

GO TO QUESTION J.31c3

GO TO QUESTION J.30e

ECAj31c1

J.31c2 By what percentage would this establishment's total annual costs INCREASE in the next fiscal year?

	Percent
Expected percentage INCREASE in total annual cost in the next fiscal year	%
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION J.30e

GO TO QUESTION J.30e

ECAj31c2

QUESTIONNAIRE NUMBER

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J.31c3 By what percentage would this establishment's total annual costs DECREASE in the next fiscal year?

	Percent
Expected percentage DECREASE in total annual costs in the next fiscal year	%
DON'T KNOW (SPONTANEOUS)	-9

ECAj31c3

J.30e Using the response options on the card; To what degree is **political instability** an obstacle to the current operations of this establishment?
SHOW CARD 26

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

j30e

J.30f Using the response options on the card; To what degree is **corruption** an obstacle to the current operations of this establishment?
SHOW CARD 26

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

GO TO QUESTION H.30

GO TO QUESTION H.30

GO TO QUESTION H.30

j30f

J.31f1 Would this establishment's total annual costs increase, remain the same or decrease over the next fiscal year if corruption is no longer an obstacle?

Increase	1
Remain the same	2
Decrease	3
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION H.30

GO TO QUESTION J.31f3

GO TO QUESTION H.30

ECAj31f1

QUESTIONNAIRE NUMBER

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J.31f2	By what percentage would this establishment's total annual costs INCREASE in the next fiscal year?
---------------	--

	Percent	
Expected percentage INCREASE in total annual cost in the next fiscal year	%	<i>GO TO QUESTION H.30</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION H.30</i>

ECAj31f2

J.31f3	By what percentage would this establishment's total annual costs DECREASE in the next fiscal year?
---------------	--

	Percent	
Expected percentage DECREASE in total annual costs in the next fiscal year	%	
DON'T KNOW (SPONTANEOUS)	-9	

ECAj31f3

H.30	Using the response options on the card; To what degree are courts an obstacle to the current operations of this establishment? SHOW CARD 26
-------------	--

No obstacle	0	<i>GO TO QUESTION Q.39</i>
Minor obstacle	1	
Moderate obstacle	2	
Major obstacle	3	
Very severe obstacle	4	
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION Q.39</i>
DOES NOT APPLY (SPONTANEOUS)	-7	<i>GO TO QUESTION Q.39</i>

h30

H.31a1	Would this establishment's total annual costs increase, remain the same or decrease over the next fiscal year if courts are no longer an obstacle?
---------------	--

Increase	1	<i>GO TO QUESTION Q.39</i>
Remain the same	2	<i>GO TO QUESTION H.31a3</i>
Decrease	3	<i>GO TO QUESTION Q.39</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION Q.39</i>

ECAh31a1

QUESTIONNAIRE NUMBER

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H.31a2	By what percentage would this establishment's total annual costs INCREASE in the next fiscal year?
---------------	--

	Percent	
Expected percentage INCREASE in total annual cost in the next fiscal year	%	<i>GO TO QUESTION Q.39</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION Q.39</i>
		ECAh31a2

H.31a3	By what percentage would this establishment's total annual costs DECREASE in the next fiscal year?
---------------	--

	Percent	
Expected percentage DECREASE in total annual costs in the next fiscal year	%	
DON'T KNOW (SPONTANEOUS)	-9	
		ECAh31a3

QUESTIONNAIRE NUMBER

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X.

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:
To conclude the topic on regulations

Q.39	Thinking about officials, would you say the following statement is always, very frequently, frequently, sometimes, seldom or never true? SHOW CARD 27
-------------	---

	Never	Seldom	Sometimes	Frequently	Very frequently	Always	DON'T KNOW (SPONTANEOUS)
It is common for firms in my line of business to have to pay some irregular "additional payments or gifts" to get things done with regard to customs, taxes, licenses, regulations, services etc	1	2	3	4	5	6	-9

ECAq39

Q.41	Thinking now of unofficial payments/gifts that establishments like this one would make in a given year, please tell me how often would they make payments/gifts for the following purposes: SHOW CARD 28
-------------	--

	Never	Seldom	Sometimes	Frequently	Very frequently	Always	DON'T KNOW (SPONTANEOUS)	
To deal with customs/imports	1	2	3	4	5	6	-9	ECAq41a
To deal with courts	1	2	3	4	5	6	-9	ECAq41b
To deal with taxes and tax collection	1	2	3	4	5	6	-9	ECAq41c

QUESTIONNAIRE NUMBER

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Q.44	<p>It is often said that firms make unofficial payments/gifts, private payments or other benefits to public officials to gain advantages in the drafting of laws, decrees, regulations, and other binding government decisions. To what extent have the following practices had a direct impact on this establishment?</p> <p>SHOW CARD 29</p>
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	No impact	Minor impact	Moderate impact	Major impact	Decisive impact	SPONTANEOUS		
						DON'T KNOW	DOES NOT APPLY	
Private payments/gifts or other benefits to Parliamentarians to affect their votes	1	2	3	4	5	-9	-7	ECAq44a
Private payments/gifts or other benefits to Government officials to affect the content of government decrees	1	2	3	4	5	-9	-7	ECAq44b
Private payments/gifts or other benefits to local or regional government officials to affect their votes or content of government decrees	1	2	3	4	5	-9	-7	ECAq44c

QUESTIONNAIRE NUMBER

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R. USE OF CONSULTING SERVICES

R.16a In the last three complete fiscal years, how many times has this establishment hired an external consultant (such as management consultant, engineer, architect, accountant)?
(INTERVIEWER: EXTERNAL ACCOUNTANTS HIRED SOLELY TO PREPARE THE COMPANY'S FINANCIAL STATEMENTS (OUTSOURCED ACCOUNTING) ARE NOT CONSIDERED TO BE EXTERNAL CONSULTANTS.)

Number of times this establishment hired an external consultant		
NO EXTERNAL CONSULTANTS HIRED	0	GO TO QUESTION R.20
DON'T KNOW (SPONTANEOUS)	-9	GO TO QUESTION L.1

ECAr16a

R.17 What kind of consulting services were provided?

INTERVIEWER: READ EACH OPTION ALOUD	Yes	No	(SPONTANEOUS)		
			DON'T KNOW	DOES NOT APPLY	
Business skills improvements (finance, marketing, communication, basic HR, business plan)	1	2	-9	-7	ECAr17a
Business process improvements (informational/accounting system or introduction of quality standard)	1	2	-9	-7	ECAr17b
More sophisticated projects (restructuring, feasibility study, environmental management and energy efficiency)	1	2	-9	-7	ECAr17c

R.18 Were any of these external consultants hired from [insert country name] consulting firms?

Yes	1	GO TO QUESTION L.1
No	2	GO TO QUESTION L.1
DON'T KNOW (SPONTANEOUS)	-9	GO TO QUESTION L.1

ECAr18

QUESTIONNAIRE NUMBER

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R.20	What was the main reason why this establishment did not hire an external consultant? SHOW CARD 30
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No need for external consultants	1
External consultants are too expensive	2
External consultants do not offer the services needed	3
There are no external consultants available	4
Not aware of consultancy services offered	5
OTHER (SPONTANEOUS – SPECIFY)	6
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

ECAr20

Other	
--------------	--

ECAr20x

QUESTIONNAIRE NUMBER

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L. LABOR

**READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:
Now I would like to ask you a few questions about this establishment's labor force.**

L.1 At the end of fiscal year **[insert last complete fiscal year]**, how many permanent, full-time individuals worked in this establishment? Please include all employees and managers **(INTERVIEWER: INCLUDE INTERVIEWEE IF APPLICABLE)**
(Permanent, full-time employees are defined as all paid employees that are contracted for a term of one or more fiscal years and/or have a guaranteed renewal of their employment contract and that work full-time)

	Number	
Permanent, full-time workers end of last fiscal year		<i>COMPLETE INNOVATION ELIGIBILITY SHEET</i>
DON'T KNOW (SPONTANEOUS)	-9	

11

L.2 Three fiscal years ago, at the end of fiscal year **[insert three complete fiscal years ago]**, how many permanent, full-time individuals worked in this establishment? Please include all employees and managers **(INTERVIEWER: INCLUDE INTERVIEWEE IF APPLICABLE)**.

	Number	
Permanent, full-time workers three fiscal years ago		12
THE ESTABLISHMENT WAS NOT IN BUSINESS THREE YEARS AGO	-7	
DON'T KNOW (SPONTANEOUS)	-9	

L.3 At the end of fiscal year **[insert last complete fiscal year]**, how many permanent, full-time individuals in this establishment were:

READ OUT	Number	DON'T KNOW (SPONTANEOUS)	
Production workers		-9	13a
Non-production workers [e.g., managers, administration, sales]		-9	13b

L.4 At the end of fiscal year **[insert last complete fiscal year]**, how many permanent, full-time individuals working in this establishment were:

READ OUT	Number	DON'T KNOW (SPONTANEOUS)	
Skilled production workers		-9	14a
Unskilled production workers		-9	14b

QUESTIONNAIRE NUMBER

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L.5 At the end of fiscal year **[insert last complete fiscal year]**, how many permanent full-time individuals working in this establishment in the following categories were female?

	Number	DON'T KNOW (SPONTANEOUS)	
Permanent full-time production workers		-9	15a
Permanent full-time non-production workers		-9	15b

L.6 How many full-time temporary employees did this establishment employ throughout fiscal year **[insert last complete fiscal year]**?
(Full-time, temporary workers are all paid short-term (i.e. for less than a fiscal year) employees with no guarantee of renewal of contract employment and that work full-time)

	Number	
Full-time seasonal or temporary workers employed last fiscal year		
NO FULL-TIME SEASONAL OR TEMPORARY WORKERS	0	GO TO QUESTION L.9a
DON'T KNOW (SPONTANEOUS)	-9	GO TO QUESTION L.9a
		16

L.6a How many full-time temporary employees employed throughout fiscal year **[insert last complete fiscal year]**, were females?

	Number	
Female full-time seasonal or temporary workers employed last fiscal year		
DON'T KNOW (SPONTANEOUS)	-9	
		16a

L.8 What was the average length of employment of all full-time temporary employees in fiscal year **[insert last complete fiscal year]** in months?
(INTERVIEWER: THIS CANNOT BE MORE THAN 12 MONTHS.)

	Months	
Average length of full-time seasonal or temporary employment last fiscal year		
LESS THAN ONE MONTH	1	
DON'T KNOW (SPONTANEOUS)	-9	
		18

QUESTIONNAIRE NUMBER

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L.9a What is the average **number of years** of education of a typical permanent full-time production worker employed in this establishment?

	Number of years
Average number of years of education of typical production worker	
DON'T KNOW (SPONTANEOUS)	-9

19a1

L.9a2 What is the average number of years of education of a typical female permanent full-time production worker employed in this establishment?

	Number
Average number of years of education of typical female production worker	19a2
DON'T KNOW (SPONTANEOUS)	-9
NO FEMALE PRODUCTION WORKERS	-7

Q.69 What percent of this establishment's permanent full-time employees employed at the end of fiscal year **[insert last complete fiscal year]** had a university degree?

	Percent
Percentage of permanent full-time employees with a university degree	%
NO ONE HAS A UNIVERSITY DEGREE	0
DON'T KNOW (SPONTANEOUS)	-9

ECAq69

L.10 Over fiscal year **[insert last complete fiscal year]**, did this establishment have formal training programs for its permanent, full-time employees?

Yes	1	GO TO QUESTION L.30a GO TO QUESTION L.30a
No	2	
DON'T KNOW (SPONTANEOUS)	-9	

110

QUESTIONNAIRE NUMBER

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L.11 Referring to the training programs run over fiscal year [insert last complete fiscal year], what percentage of permanent, full-time employees of the following categories received formal training?

	Percent	IF NO EMPLOYEES IN A CATEGORY WERE TRAINED	DON'T KNOW (SPONTANEOUS)	
Percentage of production full-time permanent employees trained	%	0	-9	I11a
Percentage of non-production full-time permanent employees trained	%	0	-9	I11b

L.30a Using the response options on the card; To what degree are **Labor Regulations** an obstacle to the current operations of this establishment?
SHOW CARD 31

No obstacle	0	<i>GO TO QUESTION L.30b</i>
Minor obstacle	1	
Moderate obstacle	2	
Major obstacle	3	
Very severe obstacle	4	
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION L.30b</i>
DOES NOT APPLY (SPONTANEOUS)	-7	<i>GO TO QUESTION L.30b</i>
		I30a

L.31a1 Would this establishment's total annual costs increase, remain the same or decrease over the next fiscal year if labor regulations are no longer an obstacle?

Increase	1	<i>GO TO QUESTION L.30b</i>
Remain the same	2	
Decrease	3	
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION L.30b</i>
		ECAI31a1

L.31a2 By what percentage would this establishment's total annual costs INCREASE in the next fiscal year?

	Percent	
Expected percentage INCREASE in total annual cost in the next fiscal year	%	<i>GO TO QUESTION L.30b</i>
DON'T KNOW (SPONTANEOUS)	-9	<i>GO TO QUESTION L.30b</i>
		ECAI31a2

QUESTIONNAIRE NUMBER

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L.31a3 By what percentage would this establishment's total annual costs **DECREASE** in the next fiscal year?

	Percent
Expected percentage DECREASE in total annual costs in the next fiscal year	%
DON'T KNOW (SPONTANEOUS)	-9

ECAI31a3

L.30b Using the response options on the card; To what degree is an **Inadequately Educated Workforce** an obstacle to the current operations of this establishment?
SHOW CARD 31

No obstacle	0
Minor obstacle	1
Moderate obstacle	2
Major obstacle	3
Very severe obstacle	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

GO TO QUESTION M.1

GO TO QUESTION M.1

GO TO QUESTION M.1

I30b

L.31b1 Would this establishment's total annual **sales** increase, remain the same or decrease over the next fiscal year if an inadequately educated workforce is no longer an obstacle?

Increase	1
Remain the same	2
Decrease	3
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION M.1

GO TO QUESTION L.31b3

GO TO QUESTION M.1

ECAI31b1

L.31b2 By what percentage would this establishment's total annual **sales** **INCREASE** in the next fiscal year?

	Percent
Expected percentage INCREASE in total annual sales in the next fiscal year	%
DON'T KNOW (SPONTANEOUS)	-9

GO TO QUESTION M.1

GO TO QUESTION M.1

ECAI31b2

QUESTIONNAIRE NUMBER

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L.31b3	By what percentage would this establishment's total annual sales DECREASE in the next fiscal year?
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	Percent
Expected percentage DECREASE in total annual costs in the next fiscal year	%
DON'T KNOW (SPONTANEOUS)	-9

ECA131b3

QUESTIONNAIRE NUMBER

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M. BUSINESS ENVIRONMENT

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

The next set of questions is designed to get a general picture of your opinion about the business environment that affects your operations.

M.1

By looking at card [insert card number] can you tell me which of the elements of the business environment included in the list, if any, currently represents the biggest obstacle faced by this establishment?

SHOW CARD 32 (WRITE THE ROTATION BELOW)

INTERVIEWER: DO NOT READ OUT

1-Access to finance
2-Access to land
3-Business licensing and permits
4-Corruption
5-Courts
6-Crime, theft and disorder
7-Customs and trade regulations
8-Electricity
9-Inadequately educated workforce
10-Labor regulations
11-Political instability
12-Practices of competitors in the informal sector
13-Tax administration
14-Tax rates
15-Transport

Biggest obstacle	
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

m1a

Rotation (option 1, 2 or 3)	
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m1d

QUESTIONNAIRE NUMBER

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N. PERFORMANCE

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

Now, we would like to ask you a few questions about the financial results of this establishment. It is important that this information be as accurate as possible. The individual data are treated as confidential – the identity of your establishment will not be revealed at any point. Please provide the following information from the financial statements of this establishment.

N.2	From this establishment's Income Statement for fiscal year [insert last complete fiscal year] , please provide the following information about this establishment: SHOW CARD 33
------------	--

	LCUs	DON'T KNOW (SPONTANEOUS)
Total annual cost of labor including wages, salaries, bonuses, social security payments	n2a	-9
Total annual cost of raw materials and intermediate goods used in production	n2e	-9
Total annual costs of fuel	n2f	-9
Total annual costs of electricity	n2b	-9
Total rental cost of machinery, vehicles and equipment [NON-RUSSIA ONLY]	n2ra	-9
Total rental cost of land and buildings [NON-RUSSIA ONLY]	n2rb	-9
Other cost of production not included above [NON-RUSSIA ONLY]	n2j	-9

N.6	From this establishment's Balance Sheet, at the end of fiscal year [insert last complete fiscal year] , what was the net book value, that is the value of assets after depreciation, of the following:
------------	---

	LCUs	DON'T KNOW (SPONTANEOUS)	
Machinery, vehicles, and equipment		-9	n6a
Land and buildings		-9	n6b

N.7	Hypothetically, if this establishment were to purchase the assets it uses now , in their current condition, how much would they cost?
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	LCUs	DON'T KNOW (SPONTANEOUS)	
Machinery, vehicles, and equipment		-9	n7a
Land and buildings		-9	n7b

QUESTIONNAIRE NUMBER

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S. EXPECTATIONS

S.1a In the next fiscal year, do you expect this establishment's annual sales to increase, stay the same or decrease?

Increase	1
Stay the same	2
Decrease	3
DON'T KNOW (SPONTANEOUS)	-9

*GO TO QUESTION VIN.1
GO TO QUESTION S.1c
GO TO QUESTION VIN.1*

ECAs1a

S.1b By what percentage do you expect this establishment's annual sales to INCREASE?

	Percent
Expected percentage INCREASE in annual sales	%
DON'T KNOW (SPONTANEOUS)	-9

*GO TO QUESTION VIN.1
GO TO QUESTION VIN.1*

ECAs1b

S.1c By what percentage do you expect this establishment's annual sales to DECREASE?

	Percent
Expected percentage DECREASE in annual sales	%
DON'T KNOW (SPONTANEOUS)	-9

ECAs1c

QUESTIONNAIRE NUMBER

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VIN. PERCEPTIONS OF OBSTACLES

THE FOLLOWING QUESTION IS AIMED AT UNDERSTANDING HOW BUSINESS PERCEIVE BRIBES

VIN.1 Imagine there are 5 towns, and for each town please imagine a firm which is of similar size as yours. The firm needs to get a permit from the authorities. The situation regarding bribes somewhat differs across the towns.
(INTERVIEWER: READ THE SITUATIONS FROM THE FIRST COLUMN)
SHOW CARD 34

	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very severe obstacle	SPONTANEOUS	
						DON'T KNOW	DOESN'T APPLY
READ OUT							
In town #1, it is not difficult to obtain the permit without giving a bribe, but a bribe can facilitate or speed up obtaining the permit. To what extent would you say that in this town corruption is an obstacle to the current operations of the firm? vin1a	0	1	2	3	4	-9	-7
In town #2, the bureaucrats who grant permits never take bribes . It is possible to get the permit following the rules even though it may take a long time. To what extent would you say that in this town corruption is an obstacle to the current operations of the firm? vin1b	0	1	2	3	4	-9	-7
In town #3 it is very difficult to obtain permits without paying a bribe . But even when you make a payment, the success is not guaranteed . To what extent would you say that in this town corruption is an obstacle to the current operations of the firm? vin1c	0	1	2	3	4	-9	-7
In town #4 it is very difficult to obtain permits without the informal payments . But when you make a payment, the success is guaranteed . To what extent would you say that in this town, corruption is an obstacle to the current operations of the firm? vin1d	0	1	2	3	4	-9	-7
In town #5, the situation in general is the same as in town #4 . Besides that, it is known that the upper-level bureaucracy of this town is involved in illegal activities. To what extent would you say that in this town, corruption is an obstacle to the current operations of the firm? vin1e	0	1	2	3	4	-9	-7

QUESTIONNAIRE NUMBER

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A.15a Please complete the following information about the interviewee(s)

Main respondent		
Position in the firm		a15a1ax
Years with the firm		a15a2a
Years in the position		ECAa15a4a
Gender		a15a3

1=LESS THAN ONE YEAR
1=LESS THAN ONE YEAR
1=MALE, 2=FEMALE
DO NOT READ OUT

Second respondent		DOES NOT APPLY
Position in the firm		-7
Years with the firm		-7
Years in the position		-7
Gender		-7

1=LESS THAN ONE YEAR
1=LESS THAN ONE YEAR
1=MALE, 2=FEMALE
DO NOT READ OUT

Third respondent		DOES NOT APPLY
Position in the firm		-7
Years with the firm		-7
Years in the position		-7
Gender		-7

1=LESS THAN ONE YEAR
1=LESS THAN ONE YEAR
1=MALE, 2=FEMALE
DO NOT READ OUT

INTERVIEWER: PLEASE CHECK WHETHER THE ESTABLISHMENT IS ELIGIBLE FOR THE INNOVATION MODULE – REFER TO THE DECISION MATRIX.

IF ESTABLISHMENT IS ELIGIBLE, PROCEED TO THE INNOVATION MODULE.

IF ESTABLISHMENT IS NOT ELIGIBLE, THEN THE SURVEY ENDS HERE

THANK YOU VERY MUCH FOR YOUR COOPERATION.

QUESTIONNAIRE NUMBER

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A.15 Time face-to-face interview ends:

Day (dd)	Month (mm)	Year (yyyy)	Hour (00 to 23)	Minutes (00 to 59)
a15d	a15m	a15y	a15h	a15min

INTERVIEWERS PLEASE ANSWER AT END OF THE INTERVIEW:

A.16	It is my perception that the responses to the questions regarding opinions and perceptions:
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Truthful	1
Somewhat truthful	2
Not truthful	3

a16

A.17	The responses to the questions regarding figures (productivity and employment numbers):
-------------	---

Are taken directly from establishment records	1
Are estimates computed with some precision	2
Are arbitrary and unreliable numbers	3
Are partially taken directly from establishment records and partially estimated	4

a17

INTERVIEWER COMMENTS:

a17x

(Problems occurred/extraordinary circumstances which could influence results)

SUPERVISORS PLEASE ANSWER:

A.18	This questionnaire was completed in:
-------------	--------------------------------------

One visit in face-to-face interview with one person	1
One visit in face-to-face interview with different managers/staff	2
Several visits	3

STOP HERE

a18

A.19	If option 2 or 3 in A.18 , estimate duration of the whole interview
-------------	--

Hour	Minutes
a19h	a19m