Natural Language Processing

Natural Language Processing Overview & Course Organization
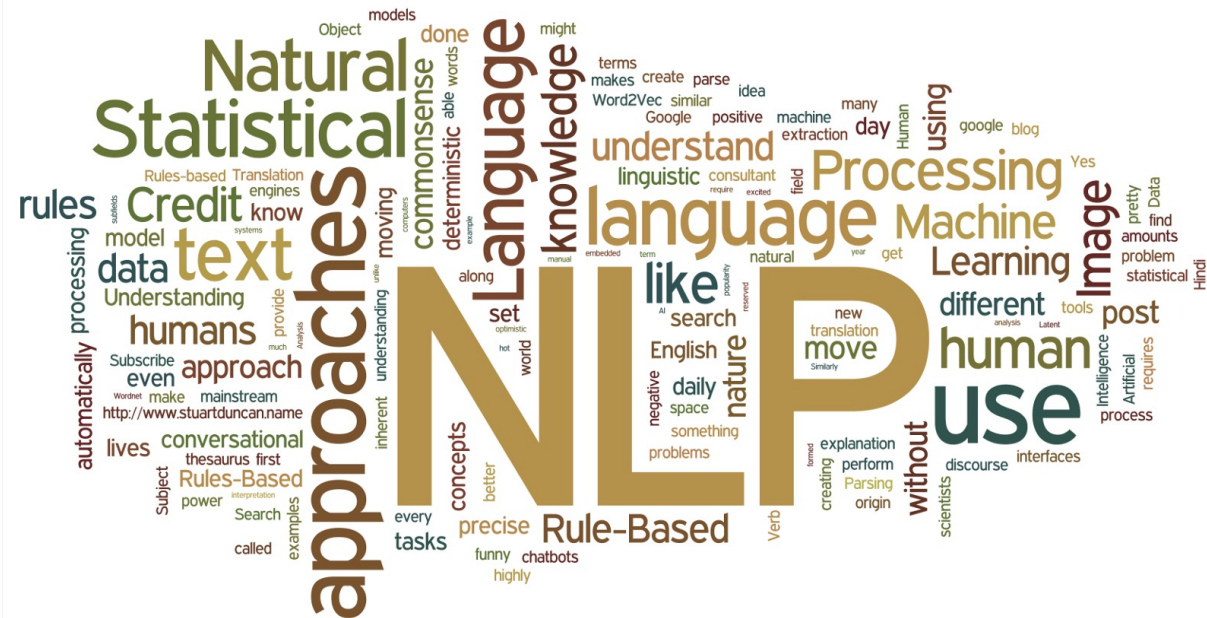
LESSON 1

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What's Natural Language Processing

- Natural Language Processing (NLP) is one of the most exciting fields in Artificial Intelligence

- It allows machines to cope with human language in a variety of ways, and it's triggering a revolution in the way we interact with systems and technology
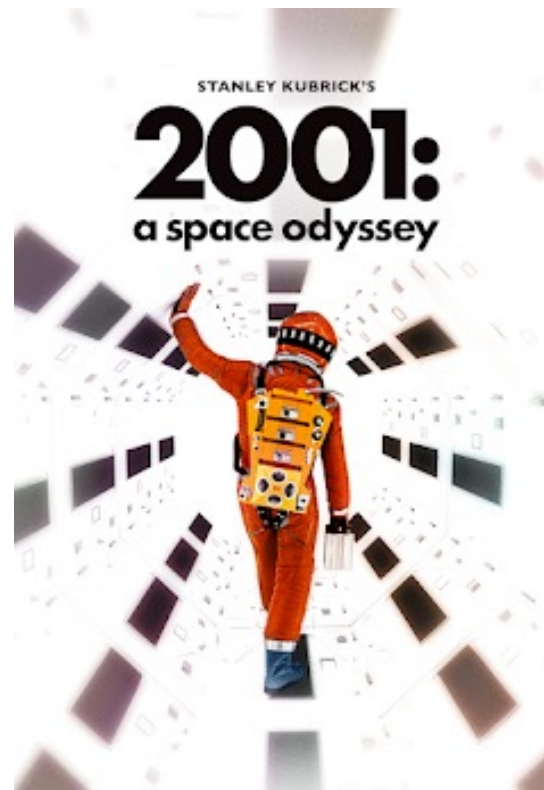
A journey into the … future?

- HAL and Dave

HAL 9000

A journey into the ... present

What is Natural Language Processing?

- Natural language processing (NLP) is a field at the intersection of
  - computer science
  - artificial intelligence
  - linguistics

- Goal: Allowing machines to read, understand, and derive meaning from human languages in order to perform tasks that are useful, e.g.,
  - Making appointments, buying things
  - Question Answering
    - Amazon Alexa, Apple Siri, Google Assistant, Facebook M, Microsoft Cortana…

- Fully understanding and representing the meaning of language (or even defining it) is a difficult goal
  - Perfect language understanding is AI-complete

- *N.B.: Terms like 'natural language processing', 'computational linguistics', and 'human language technologies' may be thought of as essentially synonymous*

Natural Language Processing and AI

- When conceiving his famous test in 1950, Alan Mathison Turing, chose a linguistic test to evaluate a machine's ability to match human intelligence

- He devised a chatbot capable of fooling its interlocutor into thinking it was human

- The test does highlight the fact that mastering language is arguably *Homo sapiens*'s greatest cognitive ability

A.M. Turing

1912-1954

What is Natural Language Processing ?

- We're immersed in the information era: Extremely large and constantly growing amounts of text are produced daily

- Let's think of several heterogeneous fields
  - Business intelligence
  - Social media
  - Healthcare
  - Finance
  - Human resources
  - Advertising

- The textual data people generate every day exceeds human processing powers
  - The solution is to extract relevant information in some automatic way

What is Natural language processing ?

- The goal of NLP is to be able to design algorithms to allow computers to "understand" natural language in order to perform some task

Applications

- Machine Translation
  - Google translate, DeepL
- Information retrieval
- Question Answering
  - IBM Watson
- Dialogue Systems (Chatbot & virtual assistant)
  - Siri, Alexa, Google Home
- Information extraction
- Summarization
- Sentiment Analysis
- Document classification & fake news detection
- …

Core technologies

- Language Modelling
- Part-of-speech tagging
- Syntactic parsing
- Named-entity recognition
- Coreference resolution
- Word sense disambiguation
- Semantic Role Labelling
- …

What does an NLP system need to know ?

- Language consists of many levels of structures

- Humans fluently integrate of all these in producing/understanding language

- Ideally, so would a computer!

```
Speech                                    Text
  ↓                                        ↓
Phonetic/Phonological Analysis      OCR/Tokenization
            ↘                      ↙
              Morphological Analysis
                      ↓
               Syntactic Analysis
                      ↓
              Semantic Interpretation
                      ↓
               Discourse Processing
```

UNIVERSITÀ DEGLI STUDI DI NAPOLI
PARTHENOPE

Words

This   is   a   simple   sentence   WORDS

Morphology

This   is   a   simple   sentence   **WORDS**

```
            be
            3sg
            present
```

                                                     MORPHOLOGY

Part of Speech

	DT	VBZ	DT	JJ	NN	**PART OF SPEECH**
	This	is	a	simple	sentence	**WORDS**
		be				**MORPHOLOGY**
		3sg				
		present				

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PARTHENOPE

Syntax

```
                    S
                      \
                       VP
             NP         \
              \          NP
               \        / | \
              DT  VBZ  DT  JJ  NN

           This   is   a  simple sentence
                  be
                  3sg
                  present
```

SYNTAX

PART OF SPEECH

WORDS

MORPHOLOGY

Semantics

$$\exists y(\mathit{this\_dem}(x) \wedge \mathit{be}(e, x, y) \wedge \mathit{simple}(y) \wedge \mathit{sentence}(y))$$

Discourse

Development of NLP

- Rules
  - Define set of rules to emulate language

- Statistics
  - Analyze large multilingual set of text (corpus)
  - Requires extensive work to define context

- Machine learning and NN
  - Semantic information learned from data

Why is NLP challenging?

- NLP distinguishes itself from other AI application domains, as for instance computer vision or speech processing
- Text data is fundamentally discrete. But **new words** can always be created
  - *Stan: an extremely enthusiastic and devoted fan (stalker-fan)*
  - *Nomophobia: anxiety caused by not having a working mobile phone*

Why is NLP challenging?

1. Ambiguity
   - Phonetic transcription [raɪt] might mean write, right, rite
   - Word senses: bank (finance or river ?)
   - Word can belong to several categories: noun, verb, or modal
     - Part of speech: chair (noun or verb ?)
   - Syntactic structure: *I saw a man with a telescope*
   - Multiple*: I made her duck*
   - Reference: *The son asked the father to drive him home*
   - Discourse: *The meeting is cancelled. Nicholas isn't coming to the office*

Ambiguity

- Resolving ambiguity is hard
- There are at least half a dozen meanings of this sentence:

<p style="text-align:center; color:red; font-family:monospace;">The chef made her duck</p>

Ambiguity

The chef made her duck

- The cook cooked waterfowl for a different woman X (person using "she/her" pronouns) to eat

- The cook cooked waterfowl belonging to X

- The cook cooked waterfowl belonging to the cook

- The cook created the (plaster?) waterfowl that X owns

- The cook caused X to quickly lower X's head or body

- The cook waved their magic wand and turned X into undifferentiated waterfowl

Ambiguity

The chef caused X to quickly lower her head or body

 Part of speech: "duck" can be a Noun or Verb

The chef cooked waterfowl for X (or belonging to X)

 Part of speech:

  "her" is possessive pronoun ("of her")

  "her" is dative pronoun ("for her")

The chef cooked waterfowl belonging to the chef (vs to X)

 Coreference

  "her" can refer to X or to the Chef

The chef made the (plaster) duck statue X (or the chef) owns

 Word Meaning : "make" can mean "create" or "cook"

More difficulties

- Non-standard language, emojis, hashtags, names

Why is NLP challenging?

2. Sparse data due to Zipf's law
    - To illustrate, let's look at the frequencies of different words in a large text corpus
    - Assume a "word" is a string of letters separated by spaces (a great oversimplification, we'll return to this issue)

Word counts

- Most frequent words (word types) in the English Europarl corpus (out of 24m word tokens)

any word		nouns	
Frequency	Type	Frequency	Type
1,698,599	the	124,598	European
849,256	of	104,325	Mr
793,731	to	92,195	Commission
640,257	and	66,781	President
508,560	in	62,867	Parliament
407,638	that	57,804	Union
400,467	is	53,683	report
394,778	a	53,547	Council
263,040	I	45,842	States

Word counts

- But also, out of 93638 distinct word types, 36231 occur only once
  - Cornflakes, mathematicians, fuzziness, jumbling
  - Pseudo-rapporteur, lobby-ridden, perfunctorily
  - Lycketoft, UNCITRAL, H-0695
  - Policyfor, Commissioneris, 145.95, 27a

Plotting word frequencies

- Order word by frequency. What is the frequency of the n-th ranked word?

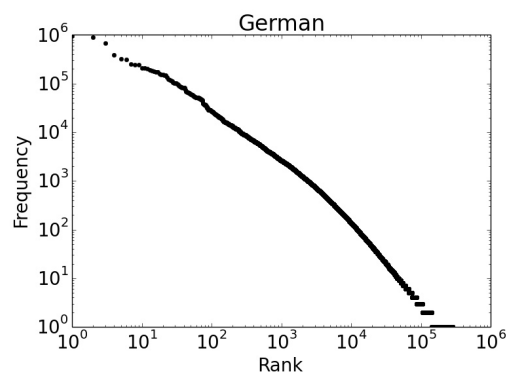Word frequency vs. rank, log axes

Zipf's law

- Summarizes the behavior we're observing here

$$f \times r \approx k$$

f = frequency of a word
r = rank of a word (if sorted by frequency)
k = constant

Implications of Zipf's law

- Few words are very frequent, and there is a long tail of rare words
  - Regardless of how large our **corpus** is, there will be a lot of infrequent (and zero-frequency) words
- In a classification problem, for instance, this means we need to find clever ways to estimate probabilities for things we have rarely or never seen during training

Why is NLP challenging?

3. Expressivity

- Not only can one form have different meanings (ambiguity), but the same meaning can be expressed with different forms:

> She gave the book to Tom **vs.** She gave Tom the book
>
> Some kids popped by **vs.** A few children visited
>
> Is that window still open? **vs** Please close the window

Why is NLP challenging?

4. Context dependence and unknown representation
   - the correct interpretation is context-dependent and often requires world knowledge
   - very difficult to capture, since we don't even know how to represent the knowledge a human has/needs
     - What is the "meaning" of a word or sentence?
     - How to model context?
     - Other general knowledge?
   - That is, in the limit NLP is hard because AI is hard
     - In particular, we've made remarkably little progress on the knowledge representation problem

Learning & Knowledge

- Rationalism
  - *A significant part of the knowledge in the human mind is not derived by the senses but is fixed in advance, presumably by genetic inheritance*
    - Noam Chomsky Poverty of the stimulus, 1980
- Generative linguists have argued for the existence of a **language faculty** in all human beings, which encodes a set of abstractions specially designed to facilitate the understanding and production of language

Learning & Knowledge

- Empiricism
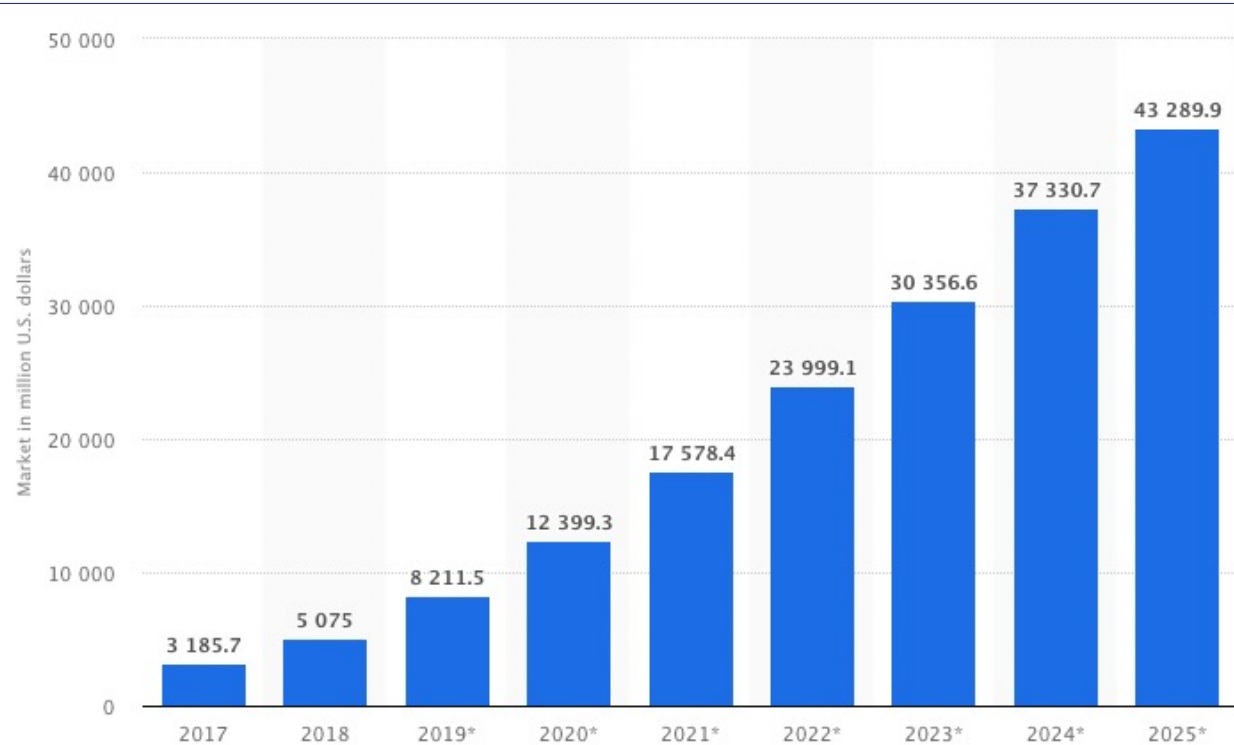 - *The view that there is no such thing as innate knowledge, and that knowledge is instead derived from experience, either sensed via the five senses or reasoned via the brain or mind.*
    - Originated in ancient Hindu and Greek philosophy
- Nowaday, many statistical NLP techniques work very well on texts, without the need to use special bias representing linguistic knowledge or mental representation of language

Learning & knowledge

- A recurring topic of debate in NLP is the relative importance of machine learning vs. linguistic knowledge

- 1950s: Empiricism was at its peak, dominating a broad set of fields ranging from psychology (behaviorism) to electrical engineering (information theory) (Shannon, Skinner, Firth, Harris).

- 1970s: Empiricism faded after a few significant events, including Chomsky's criticism of n-grams in Syntactic Structures and Minsky and Papert's criticism of neural networks

- Most NLP systems based on hand-written rules, developed by linguists

- 1990s: Data was becoming available like never before, reviving empiricist approaches (IBM Speech Group, AT&T Bell Labs)
  - *Every time I fire a linguist, the performance of the speech recognizer goes up* (Frederick Jelinek, IBM)

Market

- The NLP market is predicted to be almost 14 times larger in 2025 than it was in 2017, increasing from around three billion U.S. dollars to over 43 billion.

NLP in Finance

- In finance, data can help make timely decisions comes in text. Earnings reports are one example. A company will release its report in the morning, and it will say "Our earnings per share were a $1.12."

- By the time that unstructured data makes its way into a database of a data provider where you can get it in a structured way, hours have passed, and you've lost your edge

- NLP can deliver these transcriptions in minutes, giving analysts a competitive advantage

NLP in Social Networks

- Automated fake news detection

- Fake news refers to information content that is false, misleading or whose source cannot be verified. Automatic approaches to fake news detection involve NLP

- An example, companies like Facebooks, Twitter, TikTok, Google, Pinterest, Tencent, YouTube, and others are working with the World Health Organization to mitigate COVID-19 driven infodemic

NLP in Healthcare

- Huge volumes of unstructured patient data is inputted into electronic health record systems
  - 80% of healthcare documentation is unstructured text
- Healthcare NLP uses specialized engines capable of discovering previously missed or improperly coded patient conditions

The course

Course logistics

- Instructor: Antonino Staiano

- Time & location
  - Monday
    - 16:00 – 18:00
    - Room: Lab 1 (2nd floor, south side)
  - Thursday
    - 14:00 – 16:00
    - Room: Lab 1

- Office hours
  - Monday 14:30 – 15:30

Learning stuff

- Reference text
  - Dan Jurafsky and James H. Martin, Speech and Language Processing (3rd ed, draft)
    - https://web.stanford.edu/~jurafsky/slp3/
  - Bird, Klein, & Loper, Natural Language Processing with Python, (2009) O'Reilly Media
    - http://www.nltk.org/book/
- Lecture slides
  - E-learning platform
- Grading
  - Oral + …

What this course is

- Introductory/survey course
  - Introduces many of the core activities in NLP and discuss why they are challenging
  - Presents linguistic concepts and standard methods (algorithms) often used to solve these task
  - Provides sufficient background to be able to read (some) current research papers on NLP
- We will NOT
  - Say too much about cutting edge methods or heavy-duty machine learning